

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Eric J. Mozdy

Examiner: TBA

Serial No:

Group Art Unit: TBA

Filed:

For: POLARIZATION MODULATION  
INTERROGATION OF GRATING-  
COUPLED WAVEGUIDE SENSORS

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Commissioner of Patents  
Alexandria, VA 22313-1450


Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

  
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Date: July 25, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, Alexandria, Va 22313-1450 on July 25, 2003

Date of Deposit

Thomas R. Beall

Name of applicant, assignee, or  
Registered Representative



Signature

July 25, 2003

Date of Signature

FORM PTO-1449 (MODIFIED)  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT	ATTORNEY DOCKET NO.	SERIAL NO.
	SP03-082 (WJT003-0039)	
	APPLICANT Eric J. Mozdy	
	FILING DATE	GROUP: TBA

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
	AA	2002/0127565	09-12-02	Brian Cunningham et al.	435	6	
	AB	2002/0168295	11-14-02	Brian Cunningham et al.	422	82.05	
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
AR	N.H. Fontaine et al., "Variable-angle internal-reflection Raman spectroscopy for depth-resolved vibrational characterization of polymer thin films", Physical Review B, Vol. 57, No. 7, February 15, 1998-1, pgs. 3807-3810	
AS	A. Brandenburg et al., "Grating couplers as chemical sensors: a new optical configuration", Sensors and Actuators R, 17 (1993), pgs. 35-40	
AT		
AU		
AV		
AW		

EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.